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(6)

PATENT
Attorney Docket No. 213150

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Holland-Letz

Art Unit: Unassigned

Application No. 09/963,330

Examiner: Unassigned

Filed: September 25, 2001

For: HANDLE FOR HAND TOOL

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Dear Sir

Applicant hereby responds to the Notice to File Missing Parts of Application dated November 6, 2001.

The items checked below are appropriate:

1. Status of Applicant

This application is on behalf of ☐ other than a small entity or ☒ a small entity.

2. Documents Submitted Herewith

- a. ☒ Executed Declaration of inventor(s)
b. ☒ Nucleotide and/or Amino Acid Sequence Submission:
i. ☐ Computer Readable Form (CRF)
ii. Specification Sequence Listing on:
(1) ☐ CD-ROM or CD-R (2 copies); or
(2) ☐ Paper Copy
iii. ☐ Statement verifying identity of above copies
c. ☒ English translation of application
d. ☒ Copy of the Notice to File Missing Parts of Application.
e. ☒ Substitute Drawings
f. ☒ Other: Submission of Substitute Specification.

04/15/2002 SFELEKE1 00000014 121216 09963330

03 FC:217 460.00 CH

CERTIFICATE OF MAILING

I hereby certify that this document (along with any documents referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date: April 8, 2002

In re Appln. of Holland-Letz
Application No. 09/963,330

3. Fees

Application Filing Fee

APPLICATION FEES				
BASIC FEE				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	-20=		x \$18.00	\$
Independent Claims	- 3=		x \$84.00	\$
<input type="checkbox"/> Multiple Dependent Claims(s) if applicable			+\$280.00	\$
Total of above calculations =				\$
Reduction by 50% for filing by small entity =				\$()
Fee Due =				\$

4. Late Filing of Oath or Declaration

Pursuant to 37 CFR 1.16(e), the surcharge for filing this Response is for ☐ other than a small entity or ☒ a small entity.

Fee Due \$ 65.00

5. Submitting a Translation of the Specification

Pursuant to 37 CFR 1.17(i), the surcharge for submitting an English translation of the non-English specification is included.

Fee Due \$130.00

6. Extension of Time

- ☒ Applicants petition for a three-month extension of time under 37 CFR 1.136, the fee for which is \$460.00.
- ☐ Applicants believe that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicants have inadvertently overlooked the need for a petition and fee for extension of time.

Fee Due \$460.00

7. Total Fee Due

The total fee due is:

Application Filing Fee	\$ 0.00
Late Filing of Declaration Surcharge	\$ 65.00
Non-English Specification Surcharge	\$130.00
Extension of Time Fee	\$460.00

Total Fee Due: \$655.00

8. Fee Payment

- ☐ Attached is a check in the sum of \$.
- ☒ Charge Account No. 12-1216 the sum of \$655.00. A duplicate of this transmittal is attached.

In re Appln. of Holland-Letz
Application No. 09/963,330

9. **Fee Deficiency**



If any additional fee is required in connection with this communication, charge Account No. 12-1216. A duplicate of this transmittal is attached.



A handwritten signature in cursive script, appearing to read "Allen E. Hoover".

Allen E. Hoover, Reg. No. 37,354
One of the Attorneys for Applicant(s)
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780
(312) 616-5600 (telephone)
(312) 616-5700 (facsimile)

Date: April 8, 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,330	09/25/2001	Horst Holland-Letz	213150

CONFIRMATION NO. 1181

000023460
LEYDIG VOIT & MAYER, LTD
TWO PRUDENTIAL PLAZA, SUITE 4900
180 NORTH STETSON AVENUE
CHICAGO, IL 60601-6780

FORMALITIES LETTER



OC000000007028751

Date Mailed: 11/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/32/2002 SSALEEKU 00000007 121216 09963330

01 FC:203 513.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 195.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09963330

121216

04/15/2002

01 FC:205

02 FC:139

65.00 CH
130.00 CH

A copy of this notice MUST be returned with the reply.

M. W.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Holland-Letz

Application No. 09/963,330

Filed: September 25, 2001

For: HANDLE FOR A HAND TOOL

Art Unit: Unassigned

Examiner: Unassigned

SUBMISSION OF SUBSTITUTE SPECIFICATION

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

The subject patent application is a continuation-in-part of PCT International Application PCT/DE01/00304 (which designates the U.S.). Applicant submits concurrently herewith an English translation of the instant application. To correct various informalities and to conform the application more closely with U.S. conventions, applicant also submits herewith a substitute specification. No new matter has been added in the substitute specification. A preliminary amendment, also submitted concurrently herewith, makes further amendments to the specification and the claims without adding any new matter. The Examiner is requested to review the original and substitute specification (see also the red-line version of the specification showing changes, also enclosed herewith) and the preliminary amendment to confirm the absence of new matter

Respectfully submitted,



Allen E. Hoover, Reg. No. 37,354
One of the Attorneys for Applicant(s)
LEYDIG, VOIT & MAYER, LTD.
Two Prudential Plaza, Suite 4900
180 North Stetson
Chicago, Illinois 60601-6780
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